

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#14 nf

In re application of:

Grady, et al.

: Group Art Unit: 2152

Serial. No.:

09/812,865

RECEIVED

Filed:

March 21, 2001

Attorney Docket No.:

MAY 0 9 2003

For:

METHOD AND SYSTEM FOR

052555-5022

Technology Center 2100

LINKING REAL WORLD OBJECTS

TO DIGITAL OBJECTS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. § 1.97(b), the above-identified Applicants cite the following patents and publications which may be material to the examination of this application and in respect of which there may be a duty of disclosure in accordance with 37 C.F.R. § 1.56. This information is being submitted before the mailing of the first Office Action on the merits. In accordance with C.F.R. § 1.98(d), copies of patents set forth and listed on the attached Form PTO 1449 (1 sheet) are enclosed.

CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

I hereby certify that this paper and the documents and/	or fees referred to herein as transmitted, submitted or enclosed are being
denosited with the United States Postal Service with su	ufficient postage as first class mail in an envelope addressed to the Mail
Stop Non-fee Amendment, Commissioner for Patents"	', P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated
below.	', P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated

Name: Alison B. Weisberg Signature: Date May 6, 2003



5,237,157	Kaplan	August 17, 1993	
5,539,635	Larson, Jr.	July 23, 1996	
5,860,068	Cook	January 12, 1999	
5,872,588	Aras, et al.	February 16, 1999	RECEIVED
5,930,768	Hooban	July 27, 1999	
5,966,440	Hair	October 12, 1999	MAY 0 9 2003
5,991,737	Chen	November 23, 1999	MAY 0.22
6,160,989	Hendricks, et al.	December 12, 2000	Tachnology Center 2100
6,233,682	Fritsch	May 15, 2001	Jechnology Com
6,263,313	Milsted	July 17, 2001	
6,311,214	Rhoads	October 30, 2001	
6,463,585	Hendricks, et al.	October 8, 2002	
6,542,933	Durst, Jr., et al.	April 1, 2003	

Published Patent Applications

Publication No.	Applicant	Publication Date
2001/0027472	Guan	October 4, 2001
2001/0032251	Rhoads, et al.	October 18, 2001
2002/0062261	Mukai	May 23, 2002
2003/0019935	Giannulli	January 30, 2003

Foreign Patents

Patent Document No.	Patentee/Applicant	Publication Date
WO 01/35410	Fitzpatrick	May 17, 2001
WO 02/44956	Christensen	June 6, 2002

Other Reference

Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p.43, May 1998.

Anon., "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2002.

Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning copies of the enclosed Form PTO 1449 with the next official action.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein

is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.R.F. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or that other material information as defined in 7 C.F.R. § 1.56(b) exists.

The Commissioner is hereby authorized to charge any fees dues in connection with this submission to Deposit Account No. 50-0310. A duplicate copy of this page is enclosed.

Respectfully submitted,

Dated: May 6, 2003

Alison B. Weisberg

Registration Number 45,206

MORGAN, LEWIS & BOCKIUS LLP

1701 Market Street Philadelphia, PA 19103 Phone: (215) 963-5000

Facsimile: (215) 963-5299

RECEIVED

MAY 0 9 2003

Technology Center 2100

Substitute for form 1449A/PTO Complete if Known **Application Number** 09/812,865 RECEIVED INFORMATION DISCLOSURE **Filing Date** March 21, 2001 STATEMENT BY APPLICANT First Named Inventor Grady, et al. MAY 0 9 2003 **Group Art Unit** 2152 (use as many sheets as necessary) Not Yet Assigned **Examiner Name** Technology Center 2100 **Attorney Docket Number** 052555-5022 of 1 Sheet 1

		U.S	S. PATENT DOCUMENTS	
Exr Initials	U.S. Patent Document Number	Kind Code (if known)	Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	5,237,157		Kaplan	August 17, 1993
	5,539,635		Larson, Jr.	July 23, 1996
	5,860,068		Cook	January 12, 1999
	5,872,588		Aras, et al.	February 16, 1999
	5,930,768		Hooban	July 27, 1999
	5,966,440		Hair	October 12, 1999
	5,991,737		Chen	November 23, 1999
	6,160,989		Hendricks, et al.	December 12, 2000
	6,233,682		Fritsch	May 15, 2001
	6,263,313		Milsted	July 17, 2001
	6,311,214		Rhoads	October 30, 2001
	6,463,585		Hendricks, et al.	October 8, 2002
	6,542,933		Durst, Jr., et al.	April 1, 2003
	2001/0027472		Guan	October 4, 2001
	2001/0032251		Rhoads, et al.	October 18, 2001
	2002/0062261		Mukai	May 23, 2002
	2003/0019935		Giannulli	January 30, 2003

FOREIGN PATENT DOCUMENTS						
	Foreign Patent Document			Date of Publication	T ₁	
Exr Initials	Country	Number	Kind Code	Name of Inventor or Applicant of	of Cited Document	i
	Code		(if known)	Cited Document	MM-DD-YYYY	
	WIPO	01/35410		Fitzpatrick	May 17, 2001	
	WIPO	02/44956		Christensen	June 6, 2002	

	OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Exr Initials	Include Name of Author (in CAPITAL LETTERS), title of the article (where appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ₁
	Anon, "Controversial Custom CD Patent Licensed by Super Sonic BOOM," Information Today, Vol. 15, No. 5, p. 43, May 1998.	
	Anon, "Singapore: Vivamusic.com Opens Physical Store," Business Times, p. 12, August 21, 2000.	

Examiner	Date
Signature	Considered